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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/736,276	12/15/2000	David R. Holmes	07039-197001

CONFIRMATION NO. 8127

FORMALITIES LETTER



JOHN F. HAYDEN
Fish & Richardson P.C.
601 Thirteenth Street, NW
Washington, DC 20005

Date Mailed: 02/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 - \$108 for 12 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

05/02/2001 WKORDMA 00000016 09736276

- The balance due by applicant is \$ 528.

01 FC:201
02 FC:205
03 FC:203

355.00 OP
65.00 OP
108.00 OP

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Gleni Woodapp

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No.: 07039-197001 / Side Branch Dilatation

~~Secret~~

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : DAVID R. HOLMES, ET AL. Art Unit : Unknown
Serial No. : 09/736,276 Examiner : Unknown
Filed : December 15, 2000
Title : SIDE BRANCH DILATATION

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed February 1, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of the additional/multiple dependent claims fees of \$108;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ Substitute Drawings;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- and
- ☒ Check in payment of \$40 for filing fee of Assignment and Assignment Recordation Form

Applicant : DAVID R. HOLMES, ET AL.
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Dilatation

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

May 1, 2001

William D. Hare

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Reg. No. 44,739

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